1	Calibration of Satellite Measurements of River Discharge Using a
2	Global Hydrology Model
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20	Water Balance Model
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#### Abstract

25 Measurements of river discharge and watershed runoff are essential to water 26 resources management, efficient hydropower generation, accurate flood prediction, and 27 improved quantitative understanding of the global water cycle. Previous work 28 demonstrates that orbital remote sensing can measure daily river discharge variation in a 29 manner closely analogous to its measurement at ground stations, using reach flow surface 30 area, instead of stage, as the discharge estimator. For international measurements, global 31 hydrological modeling can be used to provide the needed calibration of incoming sensor 32 data to discharge: our study tests this approach and investigates the accuracy of the 33 results. We analyze 6 sites within the U.S. where co-located gauging station, satellite 34 measurements, and model results are all available. Knowledge is thereby gained 35 concerning how accurately satellite sensors can measure discharge, if the signal is 36 calibrated only from global modeling results without any ground-based information. 37 Calibration (rating) equations for the remote sensing signal are closely similar whether based on gauging station or model information;  $r^2$  correlation coefficients for least 38 39 squares fits at one example site (#524; White River, Indiana) are both .66 (n = 144, 40 monthly daily maxima, minima, and mean, 2003-2006). Space-based measurement of 4-41 day mean discharge at this site when using the model calibration is accurate to within +/-42 67% on the average (n = 1824; largest percent error at low discharges), and annual total 43 runoff is accurate to +/- 9 %, 2003-2008. Comparison of gauging station versus Water 44 Balance Model (WBM) discharge indicates a small positive model bias; the observed 45 errors of annual runoff values are also positive and are subject to improvement by bias 46 removal. The results indicate that model-based rating curves can provide accurate 47 calibration of remote sensing measurements of discharge. However, an analysis of an 48 exceptional large flood event, along the Indus River in 2010, shows that WBM does not 49 capture flood wave attenuation by overbank flow, and thus predicts faster flood wave 50 celerity and higher peak discharge compared to remote sensing observations. Better 51 modeling incorporating these and other processes will improve conversion of remote 52 sensing measurements of rivers into accurate discharge, including for extreme events.

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# 54 **1. Introduction**

55 Measurements of river discharge and watershed runoff are essential to water 56 resources management, efficient hydropower generation, accurate flood prediction, 57 and improved understanding of the global water cycle. River discharge at-a-site is 58 an integrated signal of water cycle processes over the catchment area upstream, and 59 large amounts of variability over relatively small amounts of time commonly occur. 60 This makes high frequency measurements necessary for many rivers (Fekete et al., 61 2012). Major efforts have been made to improve the international availability of 62 ground-based discharge data, but many nations do not share hydrological data, and 63 the network of ground stations on a global basis is inadequate. Rivers and tributary 64 streams transgress political borders, causing downstream nations to experience 65 severe constraints in predicting surface water incoming from upstream. Global 66 hydrological modeling can assist in evaluating runoff (Littlewood et al., 2003; 67 Sivapalan et al., 2003), but such modeling is still not sufficiently accurate at high 68 spatial and temporal resolution (e.g. Cohen et al., 2011).

69 Space-based observational approaches for direct, sustained measurement of 70 river discharge and runoff have so far been little utilized. Yet they are now feasible, 71 using existing and planned sensors. New processing techniques using frequent-72 revisit microwave-frequency sensing have demonstrated a capability to track 73 discharge changes via sensitive measurement of water surface area changes. Such 74 information can be obtained globally and in "near real time" (within several hours 75 after satellite overpass), but these data require some method of calibration to 76 discharge. Here we employ a global water balance runoff model (WBM) to calibrate

remote sensing to discharge: at satellite river measurement sites within the U.S. that
are coincident to comparison ground gaging stations. Error analysis indicates that
model-based calibration of the remote sensing signal can substitute for calibration
by ground-based discharge data without significant loss of discharge accuracy.

81 **2. Measuring Discharge and Runoff From Space** 

82 Previous work demonstrates that orbital remote sensing has the capability to 83 measure river discharge variation in a manner closely analogous to its measurement 84 at ground stations (Brakenridge et al., 2005; Brakenridge et al., 2007; Khan et al., 85 2011; Smith, 1997; Smith et al., 1996; Temimi, 2011). For ground gauging stations, frequent or continuous river stage height measurements are calibrated to discharge 86 87 using infrequent, current meter traverses. These intermittent measurements 88 obtained by field surveys sample flow velocities and channel cross sectional areas 89 under varying flow conditions, as stage values are recorded. Empirical, "rating 90 curves" that relate stage to discharge are thereby developed. Such relations allow 91 transformation of continuing, automated stage measurements at each station to the 92 needed discharge values, to an accuracy of 5-10% (Hirsch and Costa, 2004). 93 National water ministries worldwide use a similar approach (Olson and Norris, 94 2007; Rantz and others, 1982; Schmidt, 2002). 95 For measurement via orbital remote sensing method, consider the flow

- 96 continuity equation:
- 97 Q = wdu (1)

98 where Q is discharge in m<sup>3</sup>/sec, w is flow width (m), d is flow depth (m), and u is 99 flow velocity (m/sec). Inherent to flow continuity is that measurements which 100 monitor flow width also provide a proxy indicator of changing discharge (unless the 101 channel banks are vertical). Along most rivers, w is similar to d in its sensitivity to 102 discharge change (Bjerklie et al., 2004); both are more robust predictors of 103 discharge than *u*. Thus, *w* measurements can be transformed, via a rating curve, to 104 actual discharge, if calibration estimates of actual high, medium, and low discharges 105 can be obtained while sustained width observation is underway (Brakenridge et al., 106 2007).

107 As is the case for stage-based gauging stations on the ground, the local river 108 and floodplain channel geometry control the accuracy of rating curve relations in a 109 satellite-based approach. For gauging stations, a desirable site exhibits stable 110 channel geometry with relatively permanent and steep channel banks, where 111 discharge changes are accommodated mainly by changes in flow depth and stage. 112 For observation via satellite, instead, it is width changes that can be most easily 113 observed, and a desirable measurement site is one where mainly width changes 114 occur with variable discharge. Most river systems exhibit reaches of both types. 115 Some rivers are in fact very difficult to monitor by fixed gauging stations: because of 116 variable channel geometry, meandering or braiding channels, and other dynamic 117 processes. Remote sensing offers a complementary approach for these rivers, as a 118 reach area method is less sensitive to such noise.

In this regard, there are actually two alternatives for sensing changes in river
"width": 1) measurement of actual flow width changes, at individual cross sections

121 (Bjerklie et al., 2003), or 2) remote sensing signal measurements that are sensitive 122 to flow area change, along a defined measurement reach (Smith, 1997). Monitoring 123 water surface area is particularly attractive, because the areal averaging of the river 124 width reduces the uncertainties in the actual river width variations, while taking 125 advantage of the spatial coverage provided by remote sensing. Reach surface water 126 area is also less prone to local variation in riverbed geometry. In contrast, 127 measuring flow width is observationally demanding, because of the dual challenge 128 of high spatial resolution and frequent sampling in time. Furthermore, high-129 resolution characterizations of a river at specific cross sections would require 130 frequent recalibration due to seasonal, annual, and inter-annual changes in 131 riverbed, location, and meandering patterns (just as stage rating curves do). This 132 paper employs the second approach, which is most appropriate for remote sensing 133 from above: flow area within a defined reach, which allows the use of frequent-134 revisit but lower spatial resolution data. 135 Instead of being observed, river discharge can also be modeled: by 136 parameterization of catchment areas and measurement of forcing variables, 137 including precipitation. This offers an opportunity for calibration of remote sensing 138 signals by using independent model output. Through modeling, if changing 139 catchment precipitation, soil moisture, evapotranspiration, and other upstream 140 watershed characteristics can be measured or constrained, reasonably accurate 141 discharge can be estimated and for potentially unlimited locations along a river. As 142 daily precipitation and other data fields are ingested, updated model-based 143 discharge estimates can be calculated at the same time intervals. Contemporary

144 watershed runoff modeling uses advanced computational capabilities to scale to 145 relatively fine scale watershed characterization (e.g. to a global grid at 146 approximately 10 km). This paper examines the possibility that model-based 147 discharge information can provide the needed calibration of remote sensing 148 observations. Such capability would enable satellite measurements of river 149 discharge via either flow area or stage, and where in situ data are not unavailable. 150 Here, we analyze a suite of 6 river measurement sites within the U.S. where 151 surface gauging station, remote sensing, and model results are co-located. To begin, 152 we examine the general issue of the temporal sampling needed to adequately 153 characterize river flow variation. Next we describe the passive microwave remote sensing methods that provide the needed measurements. To test the ground-based 154 155 versus model-based calibration outcomes, we employ a global discharge prediction 156 model (Water Balance Model, WBM) (Vörösmarty et al., 1989) to obtain predicted 157 discharges for the measurement sites. Rating equations for the remote sensing 158 signal are developed and compared via two different methods: 1) using modeled 159 discharge values, and 2) using measured discharge. The co-location with gauging 160 stations also allows constraints to be placed on the accuracy and precision of 161 satellite-based discharge measurements using either approach.

162 **3. Temporal Sampling for Discharge Characterization** 

For measurement of river discharge (m<sup>3</sup>/sec), and watershed runoff (mm/t,
calculated from discharge, using watershed area), the highly dynamic nature of this
phenomenon must be considered. The task is more similar to accurate

167 observables such as vegetation greenness. Thus, highly accurate "spot" 168 measurements of precipitation rates have relatively little value other than for 169 calibration: what is needed is relatively continuous surveillance, so that accurate 170 total amounts can be computed. The same is true for river runoff and discharge. 171 Presently, earth-observing satellites are being planned to help measure global 172 river discharge and water storage changes and constrain runoff modeling (Alsdorf 173 et al., 2003; Alsdorf et al., 2007; Durand et al., 2008; Durand et al., 2010). Potential 174 remote sensing revisit frequencies for any given river location vary widely: from 175 hourly, for geostationary satellites, to  $\sim$  weekly, for low latitude locations in the 176 proposed Surface Water and Oceans Topography (SWOT) mission (Biancamaria et 177 al., 2010). Because of the constellation of sensors currently available, there are clear 178 opportunities for complementary measurements, in which more-precise but 179 relatively infrequent observational data from specific missions such as SWOT can be 180 combined, when available, with less precise but ongoing and frequent surveillance 181 of rivers by operational systems.

measurement of rainfall than to measuring slowly varying terrestrial surface

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The minimum temporal sampling needed to adequately characterize river flow varies with river flow regime. Along some very large rivers, where the daily discharge is strongly auto-correlated and the rate of change is not fast, sampling frequency requirements may not be high. As a result, except during major flooding, surface stations that provide a daily record may actually oversample along large rivers. However, water discharge for most rivers is a rapidly varying flux, at least during part of a season (Shiklomanov et al., 2006). Thus: 1) a 10-fold discharge

189 change may occur along many rivers over a period of only several days, or less, and 190 2) a large proportion of total annual river runoff may be concentrated in flood 191 seasons lasting only several weeks to several months. Also, measuring low flow 192 during a sustained drought, or high flow during a flood, requires sustained high 193 frequency observation: the duration of extreme flow in days is as important as high 194 precision individual measurements in obtaining total monthly runoff. Shiklomanov 195 et al (2006), analyzing Arctic rivers, describe in detail this strong dependence on 196 sampling frequency in measuring accurate values for even total annual runoff. 197 Although stage-discharge rating curves exhibit various errors, including 198 hysteresis (Dottori et al., 2009), transformation of frequently or continuously 199 measured stage to estimate discharge has long been accomplished within acceptable 200 and well-constrained accuracy and precision. An inherent motivation of this overall 201 approach is to provide close-interval sampling in time. In some cases (e.g. flood 202 hydrographs along smaller rivers), the time scale may be hours, but the large 203 proportion of ground station-based river discharge data is reported using daily time 204 intervals. An important challenge for remote sensing of river discharge is, therefore, 205 to achieve at least this same frequent sampling in time while progressively 206 improving, with better sensors and processing techniques, the accuracy of 207 individual (daily) measurements.

- 208 4. Passive Microwave Radiometry for River Discharge Measurement
- 209 One reason for utilizing microwave information is that, at selected
  210 frequencies, microwave radiation suffers relatively little interference from cloud

cover. Also, night overpasses can be utilized, and the signal is independent of solarillumination. These attributes allow for frequent and repeatable data retrievals.

213 Factors that affect total microwave brightness temperature from a mixed 214 water and land surface measured by an image pixel include: a) sensor calibration 215 characteristics (stability of its signal through time), b) perturbation of the signal by 216 land surface changes (e.g., physical temperature, soil moisture, crop changes, 217 snowfall, and rainfall), and c) contrast between land and water (very different 218 values of effective emissivity for water and land favor the most sensitive monitoring 219 of water area change). Also, microwave frequencies have more commonly been used 220 to observe soil moisture changes (Schmugge, 1980; Theis et al., 1982; Ulaby et al., 221 1978; Wang et al., 1982; Wang et al., 1980). Because of the sensitivity of microwave 222 emission to soil moisture, as well as to surface water, measurements of surface 223 water change must incorporate some method to account for variations caused by 224 temporal changes in soil moisture.

225 The fundamental basis of passive microwave sensitivity to river discharge 226 was analyzed with a microwave emission model derived from first principles 227 (Brakenridge et al., 2007). The emission model is developed from fluctuation-228 dissipation theory, incorporating non-isothermal conditions of riverine 229 environments. Correlations of electromagnetic fields derived from Maxwell's 230 equations with different polarizations can be cast in form of a hyperbolic cotangent 231 factor of the quantum energy ( $\hbar\omega$ ) over the absolute physical temperature (Tsang et 232 al., 1985), operated on a tensor product involving the polarization vector, complex 233 effective permittivity, and dyadic Green's function (Nghiem et al., 1990).

234 A difficulty in interpreting the brightness temperature measured by a 235 satellite radiometer is that it is a product of both physical temperature and 236 emissivity. Whereas the emissivity contains water information, the physical 237 temperature can change quickly, depending on time of the day, solar shading (e.g., 238 topographic shadowing), and weather conditions. Whereas many passive 239 microwave methods use the polarization ratio (PR) and the frequency gradient ratio 240 (GR) to cancel physical temperature within a pixel, PR and GR also reduce the 241 sensitivity to water change (Brakenridge et al., 2007). The key for river discharge 242 measurement is to cancel the physical temperature, also using a ratio approach, but 243 with the river measurement pixel amplitude value compared to nearby but separate 244 calibration pixel values. This approach retains a high sensitivity to river discharge 245 variability expressed as water surface area changes (Brakenridge et al., 2007).

246 Finally, the reach water surface area approach also greatly relaxes the spatial 247 resolution requirements for sensing flow width variation. The microwave signal 248 from a defined river reach, and geographically including both: a) lower channel 249 water area, and b) upper channel bar surfaces and floodplain dry land, will track 250 discharge: as the river rises and falls, the water and land proportion within the 251 reach changes, and only a sensitive numeric indicator of such is needed. An actual 252 map of water versus land is not required. The microwave signal variation from 253 individual, relatively large ( $\sim 10$  km) pixels centered over rivers can thus be used 254 directly (Brakenridge et al., 2007). This approach in fact requires relatively large 255 image pixels, because it is important that the largest floods not completely fill or 256 saturate a pixel. The sensitivity, noise characteristics, and stability of the remote

sensing signal are, however, critical, and the remote sensing data must also be
accompanied by high quality geocoding: any variation in the actual ground surface
being sampled by repeat measurements introduces noise.

### 260 **5. Geographic Sampling Considerations for Global Measurements**

261 For global characterization of freshwater runoff through rivers, a large array 262 of sites, at least several thousand, is needed: this still provides only several hundred 263 per continent and leaves many major streams and rivers un-monitored. There are 264 many potential issues involved with efficient design of stream-flow gaging station 265 networks sampling global scale land areas. For example, although relatively few 266 gauges located near the mouths of large rivers can capture a considerable portion of 267 the total discharge to oceans (Fekete et al., 2002), the remaining contributing 268 landmasses are increasingly fragmented into hundreds of small watersheds. Also, 269 discharge should best measured just downstream of the confluences of tributaries, 270 because discharge changes only gradually along trunk streams, whereas tributaries 271 typically add a large sudden increment that is important to capture.

272Design criteria for global sampling schemes are beyond the scope of this273paper. However, previous MODIS imaging of global surface water variability274(Brakenridge et al., 2005; Brakenridge and Kettner, 2012) provides abundant (n=2752583) suitable locations where flow area variation has already been measured276optically on an intermittent basis (Figure 1). At these locations, it has been277demonstrated that a water area-sensitive remote sensing signal will monitor flow278variability. They are thus a useful stating point in designing a global array.

- 279 Microwave signal data for these and approximately 4000 additional sites (De
- 280 Groeve, 2010; De Groeve et al., 2006; De Groeve and Riva, 2009; Kugler and De
- 281 Groeve, 2007) added more recently are available at:
- 282 <u>http://www.gdacs.org/flooddetection/</u>. We emphasize that: a) the sensitivity of
- 283 each measurement site to discharge variation, and b) the shape and position of each
- site's signal/discharge rating curve, are both a function of individual site
- characteristics, and especially channel and floodplain morphology. Thus is posed the
- 286 challenge to develop an efficient signal-to-discharge calibration approach.
- 287 6. Choice of Data and Processing Strategies

288 The remote sensing data available to monitor rivers in the microwave 289 domain includes the 37 GHz channel provided by the SMMR (Scanning Multichannel 290 Microwave Radiometer) in1978-1987, the SSM/I (Special Sensor Microwave 291 Imager) aboard the Defense Meteorological Satellite Program satellite series (1987 292 to present), the 37 GHz channel aboard TRMM (Tropical Rainfall Measurement 293 Mission, 1998 to present), and similar frequency but including V/H polarimetric 294 data provided by AMSR-E, (Advanced Microwave Scanning Radiometer for Earth 295 Observation System) July, 1, 2002-October 4, 2011. The data from these sensors are 296 freely available to the public in swath image formats (not geolocated into map 297 projections, but with accompanying latitude and longitude coordinate information 298 for each pixel) and also as geocorrected raster images (pixels of fixed dimensions 299 and geographic location within global or large-region raster files).

In this study, we describe two passive microwave data sources and also two
signal processing methods. However, our model/gauging station/remote sensing
comparisons use mainly one approach: AMSR-E data processed according to the
first method, below, which was used prior to transition to the second method in the
current processing scheme.

305 Method 1 uses AMSR-E 36.5 GHz, horizontal H polarization, descending orbit 306 (night) data, as obtained by a swath image pixel value retrieval algorithm (De 307 Groeve et al., 2006). Data from within a 5 km radius of a geographic point target are 308 retrieved, and as determined by the geolocation information for each pixel (values 309 obtained are from pixels whose centroids are within that radius). The river 310 measurement reaches (the "M" data) are, therefore, circular in shape. Also, 311 information from a fixed and nearby (dry land) comparison site (the "C" data) is 312 retrieved from the same swath image and includes an area of identical size, 313 manually selected to be free from mapped streams and rivers. M/C, a dimensionless 314 ratio value, is the discharge estimator; as noted, use of the ratio isolates any change 315 that affects only one of the pixels and, in particular, river flow area variation. These 316 data obtained in this way commonly show a strong correlation to measured 317 discharge at many sites in the U.S. (Figure 2A, Figure 3). 318 Method 2 uses AMSR-E 36.5 GHz, total amplitude (V and H polarizations 319 combined), and including data from both ascending and descending orbits, as 320 mosaicked within georeferenced, global-coverage, near real time raster images. 321 These image data are in latitude and longitude (Plate Carree) projection, with pixel

dimensions of .0833 degrees (approximately 9.27 km square at the equator but

323 with decreasing east-west km dimensions at increasing distances from the equator). 324 The processing, as automatically performed by the Global Flood Detection System in 325 Ispra, Italy (De Groeve, 2010; De Groeve and Riva, 2009), also calculates a 326 dimensionless ratio value from these rasters, but the comparison value is based on 327 the brightest (driest) values from a 7 x 7 pixel array in the raster and centered on 328 the measurement pixel. The measurement pixels each contain the same latitude and 329 longitude point targets as for the first method, but the fixed pixel ground footprint 330 means that the river reach being sampled differs significantly (with a maximum shift 331 of a half pixel size, or about 5 km). This approach does not require the manual 332 selection of the calibration pixel, making it computable anywhere in the world. Its 333 other advantage is that single-pixel variation in the calibration information cannot 334 so strongly affect the discharge-estimator signal. In detail, the algorithm calculates 335 the (95<sup>th</sup> percentile) brightest value of the calibration pixels and the ratio of that 336 value to the measurement pixel value (Figure 2B, Figure 3). Previous comparisons of 337 the two methods for other sites indicate the results to be strongly correlated (Figure 338 2) and to exhibit comparable amounts of scatter and error (De Groeve and Riva. 339 2009).

In both processing methods, a 4-day forward running mean is applied,
because AMSR-E does not provide daily revisits at lower latitudes. Instead, some
locations commonly are revisited every two days, or, rarely, only every three days,
as the AMSR-E orbit precesses. The 4-day running mean facilitates a most-current
update, daily, with values for every location globally. In any comparisons to ground
station data or model output, therefore, we also use 4 day running mean data.

Future microwave sensors such as NASA's planned GPM mission will provide morethan-daily revisits and thus a daily update without multi-day averaging will be
possible.

349 The AMSR-E data offer the capability to consistently monitor river 350 measurement sites for nearly a decade (data begin in July, 2002) and for ground 351 footprints of approximately 10 km; however, the sensor ceased operation on 352 October 4, 2011. The 37 GHz frequency and H polarization were selected in method 353 1 because H polarization data exhibits the strongest differential response to water 354 and land (Brakenridge et al., 2007) at this frequency and with lesser sensitivity to 355 soil moisture. The ongoing TRMM satellite output provides similar microwave data 356 (but from a non-polar orbit, and without high latitude coverage). The signal 357 processing at GDACS/GFDS is presently using these TRMM data; the methods 358 described may also be applicable to an array of similar frequency remote sensing 359 from other sensors.

360 7. The WBM global hydrology model

The WBM model includes the water balance/transport model first introduced by (Vörösmarty et al., 1998; Vörösmarty et al., 1989) and subsequently modified (Wisser et al., 2010; Wisser et al., 2008). WBM is a relatively simple but robust water budgeting scheme that takes into account climate forcings (air temperature and precipitation in its simplest form) and estimates various water stocks (soil moisture and groundwater) and fluxes (evapotranspiration, surface runoff, groundwater recharge and baseflow). WBM has been applied successfully in

368 small watersheds at 200m spatial resolution, up to a global scale at 6 minute grid 369 cell sizes. WBM was probably the first hydrological model applied to a global 370 domain. Perhaps the main difference between WBM and comparable large-scale 371 hydrological models is the high degree of flexibility in specifying computation 372 domains and input data and configuration. WBM has demonstrated a bias of 5-373 8mm/yr (Fekete et al., 2002; Vörösmarty et al., 1998) with respect to annual runoff 374 (297mm/yr). Numerous studies have shown that the most critical input variable is 375 precipitation (Fekete et al., 2004; Biemans et al. 2009).

At its core, the surface water balance of non-irrigated areas is a simple soilmoisture budget expressed as:

378 
$$dW_{s} / dt = \begin{cases} -g(W_{s})(E_{p} - P_{a}) & P_{a} \le E_{p} \\ P_{a} - E_{p} & E_{p} < P_{a} \le D_{WS} \\ D_{WS} - E_{p} & D_{WS} < P_{a} \end{cases}$$
(2)

driven by  $g(W_s)$ , a unitless soil function:

380 
$$g(W_s) = \frac{I - e^{\left(-\alpha \frac{W_s}{W_c}\right)}}{I - e^{-\alpha}}$$
(3)

381  $W_s$  is the soil moisture,  $E_p$  is the potential evapotranspiration,  $P_a$  is the precipitation 382 (rainfall  $P_r$  combined with snowmelt  $M_s$ ), and  $D_{ws}$  is the soil moisture deficit: the 383 difference between available water capacity  $W_c$ , which is a soil and vegetation 384 dependent variable (specified externally) and the soil moisture. The unit-less 385 empirical constant  $\alpha$  is set to 5.0 following Vörösmarty et al. (1989). 386 Flow routing from grid to grid cell follows the downstream grid cell tree

387 topology (which only allows conjunctions of grid cells upstream, without splitting to 388 form islands or river deltas) and is implemented using the Muskingum-Cunge 389 equation, which is a semi implicit finite difference scheme to the diffusive wave 390 solution to the St. Venant equations (ignoring the two acceleration terms in the 391 momentum equation). The equation is expressed as a linear combination of the 392 input flow from current and previous time step  $(Q_{int-1}, Q_{int})$  and the released water 393 from the river segment in the previous time step  $(Q_{out t-1})$  to calculate new grid-cell 394 outflow:

$$395 \qquad Q_{out\,t} = c_1 \, Q_{in\,t} + c_2 \, Q_{in\,t-1} + c_3 \, Q_{out\,t-1} \tag{4}$$

The Muskingum coefficients ( $c_1 c_2 c_3$ ) are traditionally estimated experimentally from discharge records, but their relationships to channel properties are well established. We use a power function approximation of channel geometry w $= a y^b$ , expressing the relationship between the river width (w) as a function of flow height (y) from the river bottom. Exponent b dictates the ratio of flow velocity and flood wave celerity. Detailed descriptions are available (Wisser et al., 2010).

In this paper, the WBM water discharge predictions are from a daily, global
scale simulation at 6 arc-minute spatial resolution (approximately 11 km at the
equator). Daily predictions are averaged by a 4 day running mean window to align
with the satellite microwave 4 day averaging process. The precipitation dataset is
from the Global Precipitation Climate Center GPCC, Offenbach, Germany
(gpcc.dwd.de) using their "Full" product, which combines long-term precipitation
climatology, derived from the entire data archive, with anomalies estimated from

409 the operating meteorological stations at any given time. The GPCC "Full" product is

410 available at monthly time steps at 30 arc-minute spatial resolution. Daily

411 partitioning of the monthly precipitation totals was established by computing the

412 daily fraction of the monthly precipitation from the NCEP reanalysis product

413 (Kalnay et al., 1996; Kistler et al., 2001). A six minute topological network

414 (Vörösmarty, Fekete, Meybeck, & Lammers, 2000) was derived from the high

415 resolution gridded network HydroSHEDS using SRTM elevation data set (Lehner,

416 Verdin, & Jarvis, 2008). A comprehensive list of the model input datasets is provided

417 (Cohen et al., 2011).

# 418 8. Testing WBM Model Output For Rating Curve Generation

The United States is monitored by a relatively dense array of operational
hydrological gaging stations. Data from these allow us to evaluate the effectiveness
of a model- instead of gauging station- based approach to calibrate remote sensing
measurements to discharge values.

We chose 6 sites for satellite-based measurement in the continental U.S.
(Figure 4) that are coincident to or in very close proximity with *in situ* stations
providing daily measurements between 2002-2010. The site locations and
attributes represent diverse geomorphological, land-use and climate settings (Table
1). Although this is a relatively small number of sites, their analysis provides the
opportunity to consider in detail the relationship of the remote sensing to actual
discharge variation and that provided by the model.

430	For each site, the empirical relation (the rating curve) between the remote
431	sensing signal and ground station-measured water discharge is constructed. As well,
432	the rating curve resulting from comparing only modeled discharge values to the
433	remote sensing data is produced: this would be the only possible method for
434	calibrating thousands of river measurement sites distributed globally (Figure 1),
435	and given the inability to retrieve, for most nations, daily discharge information. We
436	investigate how accurately satellite sensors can measure discharge, if the signal is
437	calibrated only from global modeling results: without any ground-based information.
438	Three temporally coincident datasets are used in each case (Figure 5):
439	1. Daily, including the complete (9 year) daily values (n = 3285);
440	2. Monthly, including the monthly mean, maximum and minimum values (n =
441	36);
442	3. Yearly, including the annual mean, maximum and minimum values ( $n = 27$ ).
443	For consistency, second-order polynomial rating curves are used to evaluate the
444	scatter plots created in all cases (Table 2). We compared our results using other
445	regression equations without substantial change in the results. Because of relatively
446	large scatter at the lower end of some river discharge regimes (the flow area
447	method becomes less sensitive once flow is fully confined within the lower channel),
448	there is an additional requirement that all portions of the polynomial curve remain
449	monotonic or flat.
450	We seek to also determine the entimal calibration strategy (daily monthly or

We seek to also determine the optimal calibration strategy (daily, monthly oryearly values) that could be applied to a large number of sites. Figure 6 shows daily

water discharge time-series (2002-2010) for the six sites together with modelbased and station-measured calibrations. The plots also include the measured
discharge at the nearby gaging station (Figure 4 and Table 1) for comparison. The
top plot for each site is for the daily calibrations; the middle for calibration with
monthly statistics; and the bottom with yearly statistics (e.g., figures 5a, 5b and 5c
respectively).

Overall, comparison of the remote sensing signal data to station-measured
discharge (blue lines in all figures) yields, visually, a generally strong time series
correlation to gauged discharge (black lines). Also, discharge estimation based on
daily data calibration (rating curves) is quite similar to that obtained when the
rating curve uses monthly and yearly statistics: if station data are used for the rating
curve; Figure 6).

464 Discharge prediction derived from model-based calibration (dashed orange 465 lines in Figure 6) varies depending on whether daily versus yearly or monthly data 466 are used for the rating curves. Daily data-based rating equations in this case predict 467 lower than observed discharge (most clearly in site #530), whereas monthly and 468 yearly statistic-based calibrations and rating curves provide more accurate results. 469 Comparison of WBM model results to measured discharge further indicates that the 470 model itself generally under-predicts mean discharge (Table 1). In sites #997 and 471 #2483, the daily data-based rating curve produces more accurate results than 472 monthly and yearly calibrations. In these two cases, WBM considerably over-473 predicted high discharge events (Figure 6). The results overall demonstrate the 474 sensitivity of any model-based calibration approach to the accuracy of the model

predictions. They also clearly indicate that using yearly and monthly statistics to
calibrate the AMSR-E signal data to discharge better characterizes extreme
discharge events: even though, for some events, there is over-estimation of the flood
magnitude (i.e. sites 997 and 2483; Figure 6). Finally, they indicate that modelbased calibration, in general, is a viable approach for translating the flow-area signal
to discharge.

481 To also evaluate the current data and processing method (method 2) for one 482 example, daily station-measured and remote sensing-measured values (n = 1824) 483 were obtained for site #524, 2003-2006 and using the model-based rating curve. 484 Assuming the gauging station data as representing true discharge, the average error 485 (departure) of the remote sensing discharge values is 67%, with percentage errors 486 being largest at times of low flow. The relatively large daily value errors are reduced 487 in the calculation of runoff totals from these data. For annual values 2003-2008, the 488 average error is 9%. Previous work (Brakenridge et al., 2007) indicates one source 489 of error in the daily values is the lack of exact temporal match between the station 490 and remote sensing discharge series. For example, major flood peak discharge as 491 measured by surface gauging stations may precede by several days the peak 492 recorded by remote sensing (which is measuring reach flow area, over a relatively 493 large area). Such lags produce a negative departure (remote sensing value – station 494 value) as the peak flow passes the station and while the reach area is progressively 495 flooding. Then, several days later, a positive error occurs as stage is already 496 declining at the station (in part due to the overbank flow). Thus, the peak value may 497 be recorded fairly accurately by both ground-based stage and satellite-based flow

498 area techniques, but the timing may differ and lead to increases in the average daily499 measurement error.

### 500 9. Remote Measurements of the 2010 Indus River flood, Pakistan

501 As noted, for many locations globally, daily discharge information from 502 surface gauging stations is difficult or impossible to obtain. Even where gauging 503 station data are available and are public, large floods can temporarily damage or 504 entirely disable surface stations. We have demonstrated that orbital remote sensing 505 can, presently, provide valuable river discharge information and monthly and 506 annual runoff volumes. However, there are, clearly, significant errors still to be 507 addressed (examine the time series shown in figures 3 and 6). Perhaps the greatest 508 asset of the remote sensing capability here detailed is its ability to be quickly and 509 easily applied to new measurement sites of interest, without field access. An 510 example allows further examination of the utility of satellite microwave river 511 discharge measurements in general, and those based on WBM model calibration in 512 particular.

513 During the summer monsoon of 2010, The upstream Khyber-Pakhtunkhwa 514 region of Pakistan experienced rainfall totals >300 mm July 27-30, and the Punjab, 515 Gilgit Baltistan and Azad Kashmir provinces received July rainfall totals of >500 516 mm. The trunk stream (Indus) flood hydrograph then traversed 500 km of river 517 reach to the sea, mainly along a meandering channel that is constrained within a 15 518 to 20 km wide floodplain by engineered artificial levees (Syvitski and Brakenridge, 519 submitted). All of this floodplain, and more, was inundated. Analysis of optical

remote sensing data indicates that most damage was caused by multiple failures of
irrigation system levees, and by barrage-related backwater effects that initiated
failures and led to avulsions (sudden changes in flow location). A detailed analysis
is provided elsewhere (Syvitski and Brakenridge, submitted). Attention is directed
here to the difference between the modeled and the remotely-observed flood
hydrograph at an illustrative remote sensing measurement site (site #2010; Figure
7).

527 The WBM-modeled peak discharge for this event at site #2010, south of the 528 major levee failure and avulsion at the Tori Bund, is ~26,000 m<sup>3</sup>/sec, with flow 529 being elevated above  $15,000 \text{ m}^3$ /sec for only several days (Figure 7). However, the 530 model includes no limitations on the volume of water transported in a river at a 531 point in time (no change to overbank flow conditions is incorporated). This can 532 cause over-prediction of the magnitude of high flow events (as shown in the U.S. 533 sites #997 and #2483; see also Cohen et al., 2011). Also, the modeled water is 534 transported much too rapidly downstream. A new version of WBM (currently in 535 testing) will address these limitations by incorporating an over-bank flow 536 component that acknowledges the reality of channel overtopping during large 537 discharges. Also, the present model does not include the possibility of avulsion. 538 Comparison of the remotely sensed discharge at station #2009, upstream of 539 the avulsion at Tori, and at #2010 indicate a reduction of measured peak flow 540 downstream of the breach by  $\sim 10,000 \text{ m}^3/\text{sec}$  (Syvitski and Brakenridge, 541 submitted). Figure 7 shows as well the very different shape of the observed 542 hydrograph at this site compared to that modeled for it. Thus, avulsion reduced the

peak flow, and, also, the flood was experienced for much longer (22 days of >
15,000 m<sup>3</sup>/sec) than the model predicted. During large floods, and even along
heavily engineered rivers, major attenuation of the flood wave typically occurs, and
this is illustrated in the Indus example. This attenuation can, clearly, be measured in
detail by this form of remote sensing. Its adequate characterization by modeling at
this spatial scale remains an important task for future work.

## 549 **10. Conclusion**

550 The results indicate that microwave satellite measurements at carefully 551 selected river reaches can approach *in-situ* ground station information in their 552 utility for several applications of river runoff and discharge information, including 553 the analysis of daily flood dynamics and the quantification of longer term watershed 554 runoff volumes. However, remote sensing of rivers through these methods does 555 require some form of calibration to discharge values via rating equations. The 556 examples we analyzed indicate that the needed transformation of water-area 557 sensitive remote sensing to river discharge can be accomplished by incorporation of 558 global runoff model results. Using the described or similar microwave data and 559 processing approaches, and for river measurement sites whose channel and 560 floodplain morphologies favor flow area variability, 4-day running mean daily 561 discharges as measured via satellite compare favorably with information obtained 562 by gauging stations. The timing and duration of periods of high and low flow are 563 accurately constrained, and the relative magnitude in m<sup>3</sup>/sec of flood peaks can be 564 determined. However, daily value accuracies exhibit significant errors, in part due 565 to a lack of exact temporal match in the timing of some major flood peaks. For

annual runoff expressed in mm/yr, observed errors at the suite of sites examined
and using a global model-based calibration approach was relatively small. This
suggests that the measurement technology is already able to deliver significant new
information for water balance studies at many international locations, and without
support by ground-based information.

571 We stress the synergy between remote sensing altimetry approaches and 572 flow area approaches for discharge measurement. One upcoming space agency 573 mission (the U.S./France SWOT satellite) is being designed to provide global data 574 sets of accurate swath radar altimetry-based river stage and slope, but without a 575 long-term record and with a short (3-year) nominal mission life. Flow area 576 measurements through existing and planned microwave sensors can, meanwhile, be 577 made frequently ( $\sim$  daily); they can be extended back about three decades in time, 578 and they can be continued while SWOT is collecting data and afterwards. Several 579 satellites are currently providing appropriate, stable, well-calibrated, water area-580 sensitive data; these can now be being used to measure river discharge changes. For 581 many research efforts and well as practical applications, both long-term data and 582 current near-real-time observations are necessary. The challenge is to develop 583 processing methodologies that can ingest, process, and disseminate the results, and 584 provide reliable error estimates, and then to allow synergistic incorporation of 585 altimetry data when such become available.

In regard to the best calibration/rating curve approaches, our analysis
indicates significant variation in the rating curve equations, depending on whether
daily datasets or monthly or yearly statistics are used. In general, daily data-based

- rating curves do not always accurately estimate the highest flow events: polynomial
- 590 or other regression techniques applied to the comparisons of modeled and observed
- daily data may not accurately capture the relation between the largest discharge
- and the remote sensing signal, and especially as long as the modeled routing of flood
- 593 waves inadequately captures overbank and other flow attenuation processes.
- 594 Rating curves based instead on monthly or yearly maximum and minimum statistics
- 595 better characterize the signal/discharge relation at the extremes. Preliminary work
- using the method 2 data and processing indicates that incorporating a 5 year period
- 597 of record for both modeled and observed values, and using monthly daily maxima,
- 598 minima, and mean values (n =180) commonly produces rating curves with second
- order polynomial least square regression  $r^2$  values >.6 at favorable sites, and also
- 600 provides more accurate prediction of peak flow values.

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Table 1. Characteristics of 6 remote-sensing sites and corresponding USGS gaging stations (Figure 1) and the Indus site and gaging station (Figure 5). Site mean discharge is as predicted by *WBM*.

Site	Site River Name	Site	Site	Site Mean	Station ID	Station	Station	Station Mean
ID		Coordinates	Drainage	Discharge		Coordinates	Drainage	Discharge
		Lat/Long (dd)	Area (km <sup>2</sup> )	$(m^{3}/s)$		Lat/Long (dd)	Area (km <sup>2</sup> )	$(m^{3}/s)$
507	Missouri, Brunswick	39.34/-93.02	1,264,731	1206	06906500	39.22/-92.849	1,292,151	1709
524	White, Newberry	38.91/-87.07	12,802	161	03360500	38.92/-87.011	12,137	182
530	Red, Halstad	47.26/-96.84	65,000	39	05082500	47.92/-97.029	77,929	170
925	Willamette	45.18/-123.01	19,710	504	14191000	44.94/-123.042	18,928	591
997	Connecticut	41.84/-72.632	26,240	500	01184000	41.98/-72.606	25,116	567
2483	Pee Dee	33.82/-79.32	28,706	336	02135200	33.66/-79.155	36,660	372
2010	Indus, Hala	25.9/68.26	1,070,050	2730	Mandi Plain	31.75/74.75	20,886	497

723 Table 2. Rating curves equations of AMSR-E C/M radiance ratios versus WBM-predicted and gaging station-measured discharge with

		-			-	
724	daily, monthly and	yearly statistic	s (Figure 2). Site ID	corresponds to Table	1 and Figures	1 and 5.

uuiij,	dury, monting and youry statistics (Figure 2). Site in corresponds to Fable F and Figures F and 5.						
ID	USGS daily	WBM daily	USGS monthly	WBM monthly	USGS yearly	WBM yearly	
507	$530.71x^2 + 9092.7x$	$-4740.5x^2$	$1377.1x^2 + 9526.3x$	$25418x^2 - 42215x$	$7548.9x^2 - 8277.2x$	$18291x^2 - 26997x$	
	- 9356.7	+20231x-15676	- 10485	+ 16604	+ 1349.9	+ 8637.6	
524	1895.9x <sup>2</sup> - 3321.3x	$-253.99x^{2} +$	$-559.11x^{2} +$	935.9x <sup>2</sup> - 344.86x	$1105.2x^2 - 625.27x$	$-22.769x^{2} +$	
	+ 1503.2	1280.3x - 951.57	3004.7x - 2320.3	- 481.06	- 371.88	1954.5x - 1816.6	
530	4775.2x <sup>2</sup> - 8733.7x	$248.38x^2 - 210.5x$	$3413.6x^2 -$	1514.8x <sup>2</sup> - 1969x -	$3044.7x^2 - 4616.2x$	$665.89x^2 - 268.6x$	
	+4062.1	- 11.181	5463.7x + 2180.9	+ 555.27	+ 1729.7	- 285.31	
925	$12268x^2 - 26478x$	$5719.4x^2 - 10142x$	$6893.6x^2 - 12527x$	$12063x^2 - 24172x$	$-996.07x^{2} +$	$-679.62x^{2} +$	
	+ 14607	+4382.4	+5695.5	+ 12111	7989.8x - 7354.3	9416.5x - 9452.2	
997	$17051x^2 - 34488x$	$10897x^2 - 21890x$	$22195x^2 - 42451x$	$40085x^2 - 78585x$	$29201x^2 - 57348x$	$57460x^2 -$	
	+ 17789	+ 11338	+20421	+38557	+28248	116560x + 59142	
2483	$21959x^2 - 41141x$	$17858x^2 - 33431x$	$17411x^2 - 29828x$	$38582x^2 - 67080x$	$18563x^2 - 32388x$	$46022x^2 - 80588x$	
	+ 19441	+ 15821	+ 12726	+28870	+ 14093	+ 34948	



727	Figure 1. Satellite river measurement sites	(n = 2583) when	e optical remote sensing
		(	

728 (2001-2010) detects significant surface water area variation within the site reaches (10

km in length). Near-daily time series of passive microwave signal have been obtained and

archived for each site since July 1, 2002. Evaluation of the 10 yr+ time series allows the

731 daily signal data to be binned into low flow (yellow dots), normal flow (blue dots),

moderate flood (purple dots, recurrence interval > 1.33 yr via Log Pearson III) and large

flood (red dots, > 5 yr recurrence). Red dots at high latitudes are processing errors due to

- 734 ice-covered conditions.

- \_ . .



752 31, 2010, versus 4-day forward running mean gauging station discharge, White

- River, southern Indiana (remote sensing site 524; gauging station USGS 03360500
- 754 White River at Newberry, Indiana). B (bottom), Plot of the estimator ratio,
- calculated according to method 2, versus the gauging station information, same timeperiod.
- 757



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Figure 3. A, top: satellite-estimated daily 4-day running mean river discharge, site 524, in red, compared to 4-day running mean discharge measured at the co-located gauging station (blue). Rating curve was based on comparison of daily station and (method 2) satellite data. B, bottom: satellite-estimated discharge, red, using a rating based on the WBM model-produced discharge information (same remote sensing data). The modelbased rating curve underestimates peak discharge but characterizes average flow conditions quite accurately. Vertical scales are in ft<sup>3</sup>/sec.





- Figure 4. Location map for this paper's sample of remote sensing river measurement sites
- and co-located USGS gaging stations.



Figure 5. Example plots (site #925) of method 1 microwave discharge estimator
values versus WBM-simulated discharge. A, top: Daily values using the entire dataset.
B, middle: Monthly values (monthly mean, minima and maxima). C, bottom: Yearly
values, using only yearly mean, minimum and maximum. The daily value-based rating
equation underestimates flood flows.





783

784 Figure 6. Nine year (2002-2010) daily time series of water discharge for the 6 remote-785 sensing sites (numbering corresponds to Figure 4 and Table 1). Gauging station-measured 786 discharge is plotted with a thick black line, microwave signal-estimated discharge based 787 on the gauging station data is plotted with a blue line, and microwave signal-estimated 788 discharge based on WBM model-predicted discharge is plotted with a dashed orange line. 789 The top plot for each site is for calibration using the entire daily dataset, the middle plot 790 is for calibration using only the monthly statistics and the bottom plot for calibration 791 using only yearly statistics.

# Figure 6, continued.











Figure 7. Time series for the year 2010 showing the time lag between WBM-simulated
and microwave-observed discharge (dashed black and solid blue lines respectively) at site
#2010 on the Indus River, Hala, Pakistan. Modeling predicts an earlier and higher flood
crest, and more rapid dissipation than was observed via remote sensing.